

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 6

Complete if Known

Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Ginter
Art Unit	2435
Examiner Name	April Ying Shan
Attorney Docket Number	07451.0005-04000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-4,809,220	02-28-1989	Carlson et al.	
		US-5,060,219	10-22-1991	Lokhoff	
		US-5,351,237	09-27-1994	Shinohara et al.	
		US-5,532,920	07-02-1996	Hartrick et al.	
		US-5,630,131	05-13-1997	Palevich et al.	
		US-5,933,498	08-03-1999	Schneck et al.	
		US-6,928,419	08-09-2005	Stefik et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		CN 1118482A	03-13-1996	Tandem Computer, Inc.		
		EP 0 717 566	06-19-1996	General Semi-Conductor		
		WO 96/02993	02-01-1996	Bankers Trust Co.		

NONPATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ²
		Office Action dated January 12, 2010 for U.S. Serial No. 11/405,130, filed April 13, 2006, Ginter et al., Attorney Docket No. 07451.0005-06.	
		Office Action dated September 15, 2009 for U.S. Serial No. 11/412,348, filed April 26, 2006, Ginter et al., Attorney Docket No. 07451.0005-07.	
		Office Action dated November 9, 2009 for U.S. Serial No. 11/827,999, filed July 13, 2007, Ginter et al., Attorney Docket No. 07451.0005-09.	
		Final Office Action dated November 3, 2009 for U.S. Serial No. 11/827,981, filed July 13, 2007, Ginter et al., Attorney Docket No. 07451.0005-10.	
		Before the Board of Patent Appeals and Interferences, Ex parte Kazuya Miyazaki, Harumitsu Nakajima and Tetsuo Nakakawaji, Appeal No. 2003-1329, Application No. 09/131,386, Heard January 6, 2004, paper no. 29.	

Examiner
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IDS Form PTO/SB/06: Substitute for form 1449/APTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				<i>Application Number</i>	10/727.324
				<i>Filing Date</i>	December 2, 2003
				<i>First Named Inventor</i>	Karl L. Ginter
				<i>Art Unit</i>	2435
				<i>Examiner Name</i>	April Ying Shan
				<i>Attorney Docket Number</i>	07451.0005-04000
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NONPATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁵	
		PERRITT, H., "Knowbots, Permissions, Headers and Contract Law", Paper for the Conference on Technological Strategies for Protecting Intellectual Property in the networked Multimedia Environment, April 1993.		
		Microsoft Press Computer Dictionary, Microsoft Press, 3rd Ed., 1997, p. 383.		
		Minutes of the Oral Proceedings conducted starting March 24, 2006 in EP Appl. No. 96 932 173.6, (Attorney Docket No. 07451.0005-00155), Examining Division of the EPO, April 5, 2006.		
		ROSCHEISEN, R. M., "General Certificates", Computer Science Dept., Stanford University, August 18, 1995.		
		REAGLE, JR., "Trust in a Cryptographic Economy and Digital Security Deposits: Protocols and Policies", Thesis, Massachusetts Institute of Technology, May 10, 1996, section 6.3.		
		Examination Report dated June 13, 2003 for European Appl. No. 96 932 173.6, Attorney Docket No. 07451.0005-00155.		
		Examination Report dated April 11, 2001, European Appl. No. 96 932 173.6, Attorney Docket No. 07451.0005-00155.		
		Notice of Opposition of EP Patent 0974129 by F.R. Kelly & Co., May 25, 2007 (Attorney Docket No. 07451.0005-00155).		
		Notice of Opposition of EP Patent 0974129 by France Telecom, May 21, 2007 (Attorney Docket No. 07451.0005-00155).		
		Examination Report dated March 14, 2006 for Japanese Patent Appl. No. 10-512591, Attorney Docket No. 07451.0005-00195.		
		Official Action dated January 11, 2005 for Canadian Patent Appl. No. 2,264,819, Attorney Docket No. 07451.0005-00131.		
		Official Action dated November 5, 2008 for Canadian Patent Appl. No. 2,264,819, Attorney Docket No. 07451.0005-00131.		
		Official Action dated November 5, 2007 for Canadian Patent Appl. No. 2,264,819, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-00131.		
		Official Action dated August 14, 2006 for Canadian Patent Appl. No. 2,264,819, Attorney Docket No. 07451.0005-00131.		
		Office Action dated January 30, 2004 for Chinese Patent Appl. No. 96180487.4, Attorney Docket No. 07451.0005-00139.		
		Office Action dated December 6, 2002 for Chinese Patent Appl. No. 96180487.4, Attorney Docket No. 07451.0005-00139.		
		Fourth Office Action dated August 20, 2004 for Chinese Patent Appl. No. 96180487.4, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-00139.		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IDS Form PTO/SB/06: Substitute for form 1448A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				<i>Application Number</i>	10/727,324
				<i>Filing Date</i>	December 2, 2003
				<i>First Named Inventor</i>	Kari L. Ginter
				<i>Art Unit</i>	2435
				<i>Examiner Name</i>	April Ying Shan
				<i>Attorney Docket Number</i>	07451.0005-04000
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Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ²	
		Decision on Rejection dated July 4, 2003 for Chinese Patent Appl. No. 96180487.4, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-00139.		
		First Office Action dated July 5, 2002 for Chinese Patent Appl. No. 96180487.4, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-00139.		
		GULBINS, J. et al., "UNIX", Springer Publishing, 1995, pp. 104-111.		
		Communication Pursuant to Article 94(3) EPC (Examination Report) dated September 29, 2008, EP Appl. No. 06 075 651.7, filed March 22, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03155.		
		Summons to Oral Proceedings and Preliminary Opinion (Rule 115(1) EPC) dated March 11, 2009, EP Appl. No. 06 075 651.7, filed March 22, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03155.		
		Communication Pursuant to Article 96(2) EPC (Examination Report) dated October 15, 2007, EP Appl. No. 06 075 651.7, filed March 22, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03155.		
		Communication Pursuant to Article 94(3) EPC (Examination Report) dated September 29, 2008, EP Appl. No. 06 075 652.5, filed March 21, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02155.		
		Communication Pursuant to Article 94(3) EPC (Examination Report) dated January 2, 2008, EP Appl. No. 06 075 652.5, filed March 21, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02155.		
		Communication Pursuant to Article 96(2) EPC (Examination Report) dated May 3, 2007, EP Appl. No. 06 075 652.5, filed March 21, 2006, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02155.		
		First Office Action dated June 19, 2009 for Chinese Patent Appl. No. 2005-10078939.0, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-04139.		
		Office Action dated September 19, 2008 for Japanese Appl. No. 2008-110700, filed March 12, 2008, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-04195.		
		Office Action dated March 16, 2009 for Japanese Appl. No. 2008-062585, filed March 12, 2008, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03195.		
		Office Action dated August 20, 2008 for Japanese Appl. No. 2008-062585, filed March 12, 2008, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03195.		
		TORII, N. et al., "System Architecture for Super Distribution", Technical Research Report of Institute of Electronics, Information and Communication Engineers, September 21, 1994, Vol. 94, No. 240, pp. 59-66.		

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IDS Form PTO/SB/08. Substitute for form 1448A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			<i>Application Number</i>	10/727,324	
			<i>Filing Date</i>	December 2, 2003	
			<i>First Named Inventor</i>	Karl L. Ginter	
			<i>Art Unit</i>	2435	
			<i>Examiner Name</i>	April Ying Shan	
			<i>Attorney Docket Number</i>	07451.0005-04000	
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		Reexamination Decision dated June 18, 2009 for Chinese Appl. No. 2005-10079025.6, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02139.		
		Reexamination Notice dated September 8, 2008 for Chinese Appl. No. 2005-10079025.6, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02139.		
		Decision of Rejection dated November 16, 2007 for Chinese Appl. No. 2005-10079025.6, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02139.		
		Second Office Action dated April 20, 2007 for Chinese Appl. No. 2005-10079025.6, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02139.		
		First Office Action dated November 17, 2006 for Chinese Appl. No. 2005-10079025.6, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02139.		
		Office Action dated March 6, 2008 for Japanese Appl. No. 2003-312970, filed September 4, 2003, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02195.		
		Office Action dated September 11, 2006 for Japanese Appl. No. 2003-312970, filed September 4, 2003, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02195.		
		Final Office Action dated July 9, 2007 for Japanese Appl. No. 2003-312970, filed September 4, 2003, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-02195.		
		First Office Action dated December 29, 2006 for Chinese Appl. No. 2005-10076491.9, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03139.		
		Decision on Rejection dated December 12, 2008 for Chinese Appl. No. 2005-10076491.9, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03139.		
		Reexamination Decision dated November 4, 2009 for Chinese Appl. No. 2005-10076491.9, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03139.		
		Second Office Action dated June 22, 2007 for Chinese Appl. No. 2005-10076491.9, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-03139.		
		Second Office Action dated June 22, 2007 for Chinese Appl. No. 2005-10076492.3, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-05139.		

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				<i>Filing Date</i>	December 2, 2003
				<i>First Named Inventor</i>	Karl L. Ginter
				<i>Art Unit</i>	2435
				<i>Examiner Name</i>	April Ying Shan
<i>Sheet</i>	5	of	6	<i>Attorney Docket Number</i>	07451.0005-04000

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		First Office Action dated December 22, 2006 for Chinese Appl. No. 2005-10076492.3, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-05139.		
		Office Action dated March 24, 2009 for Japanese Appl. No. 2008-62542, filed March 12, 2008, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-05195.		
		Office Action dated March 13, 2008 for Japanese Appl. No. 2003-312896, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-01195.		
		Office Action dated July 18, 2007 for Japanese Appl. No. 2003-312896, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-01195.		
		Office Action dated September 28, 2006 for Japanese Appl. No. 2003-312896, filed September 4, 1996, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-01195.		
		First Office Action dated December 1, 2006 for Chinese Appl. No. 2005-10079024.1, filed June 14, 2005, Intertrust Technologies Corp., Attorney Docket No. 07451.0005-01139.		
		Office Action dated December 8, 2009 for U.S. Serial No. 11/417,323, filed May 2, 2006, Ginter et al., Attorney Docket No. 07451.0005-08.		
		European Search Report and European Search Opinion dated September 21, 2006 for Application No. EP06 075 651.7, filed March 22, 2006, Intertrust Technologies, Corp., Atty. Docket No. 07451.0005-03155		
		European Search Report and European Search Opinion dated September 13, 2006 for Application No. EP06 075 652.5, filed March 21, 2006, Intertrust Technologies, Corp., Atty. Docket No. 07451.0005-02155		
		European Search Report and European Search Opinion dated July 3, 2006 for Application No. EP05 076 225.1, Intertrust Technologies, Corp., Atty. Docket No. 07451.0005-01155		
		European Examination Report dated March 27, 2007 for Application No. EP05 076 225.1, Intertrust Technologies, Corp., Atty. Docket No. 07451.0005-01155		
		Notice of Reasons for Rejection dated March 27, 2007 for Japanese Patent Appl. No. 10-512591, Attorney Docket No. 07451.0005-00195.		
		Decision of Final Rejection dated November 13, 2007 for Japanese Patent Appl. No. 10-512591, Attorney Docket No. 07451.0005-00195.		
		First Office Action dated August 14, 2009 for Chinese Patent Appl. No. 2005-10078940.3, Attorney Docket No. 07451.0005-06139		
		First Office Action dated March 13, 2009 for Chinese Patent Appl. No. 2006-10099746.8, Attorney Docket No. 07451.0005-07139		

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		Second Office Action dated March 13, 2009 for Chinese Patent Appl. No. 2006-10099746.8, Attorney Docket No. 07451.0005-07139	
		Office Action dated April 13, 2010 for U.S. Serial No. 11/834,784, filed August 7, 2007, Ginter et al., Atty. Docket No. 07451.0005-11000	
		Office Action dated June 2, 2010 for U.S. Serial No. 11/412,348, filed April 26, 2006, Ginter et al., Atty. Docket No. 07451.0005-07000	

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